### **Statement of Ethical Conduct**

Columbia University expects all officers of instruction, research, libraries, athletics, and administration; support staff; and students to maintain the highest standards of ethical conduct.

#### Principles:

- Be honest, ethical, and truthful.
- Obey the law.
- Follow University policies and procedures.

The full Statement of Ethical Conduct and the Non-Retaliation Policy can be found at policylibrary.columbia.edu.



### COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK



## Columbia University Compliance Hotline

REPORT COMPLIANCE OR ETHICS CONCERNS

866-627-3768 compliance.columbia.edu/hotline

24 hours a day / 7 days a week Anonymous reporting available Operated by a third-party provider



# Columbia's Commitment to Compliance and Ethics

Columbia University is committed to operating with integrity in compliance with applicable laws, regulations, and policies. The University expects the highest standards of ethical conduct from the members of its community and is dedicated to upholding its reputation as one of the top academic and research institutions in the world.

Columbia expects members of the University community to inform the appropriate contacts if they have observed unethical, illegal, or suspicious activity. Those who have concerns about possible noncompliance with federal, state, or local laws and regulations or University policies are expected to report promptly.

The University prohibits retaliation against those who report compliance or ethics concerns in good faith.

### Where to Go with a Concern

- 1. Your Supervisor
- 2. Human Resources hr.columbia.edu
- 3. Compliance Hotline 866-627-3768 compliance.columbia.edu/hotline
- 4. Office of the General Counsel ogc.columbia.edu
- 5. University Compliance Contacts compliance.columbia.edu/contacts

# Examples of Compliance Concerns:

- Fraud and financial improprieties
- Research misconduct
- Discrimination or harassment
- Conflicts of interest
- Breaches of confidentiality
- Unsafe work conditions
- Inappropriate use of University resources